1	Prevention not cure: a review of methods to avoid sea lice infestations in salmon							
2	aquaculture							
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4	Luke T Barrett <sup>1</sup> *, Frode Oppedal <sup>2</sup> , Nick Robinson <sup>1,3</sup> , Tim Dempster <sup>1</sup>							
5								
6	<sup>1</sup> Sustainable Aquaculture Laboratory – Temperate and Tropical (SALTT), School of							
7	BioSciences, University of Melbourne, Australia							
8	<sup>2</sup> Animal Welfare Group, Institute of Marine Research, Matre Research Station, Matredal,							
9	Norway							
10	<sup>3</sup> Breeding and Genetics, Nofima, Ås, Norway							
11								
12	*Corresponding author: luke.barrett@unimelb.edu.au							
13								
14	ORCIDs:							
15	LB: 0000-0002-2820-0421							
16	NR: 0000-0003-1724-2551							
17	TD: 0000-0001-8041-426X							
18								
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#### 21 ABSTRACT

22 The Atlantic salmon aquaculture industry still struggles with ectoparasitic sea lice despite decades of research and development invested into louse removal methods. In contrast, 23 methods to prevent infestations before they occur have received relatively little research 24 effort, yet may offer key benefits over treatment-focused methods. Here, we summarise the 25 range of potential and existing preventative methods, conduct a meta-analysis of studies 26 trialling the efficacy of existing preventative methods, and discuss the rationale for a shift to 27 the prevention-focused louse management paradigm. Barrier technologies that minimise host-28 parasite encounter rates provide the greatest protection against lice, with a weighted median 29 76% reduction in infestation density in cages with plankton mesh 'snorkels' or 'skirts', and 30 up to a 100% reduction for fully enclosed cages. Other methods such as geographic 31 spatiotemporal management, manipulation of swimming depth, functional feeds, repellents, 32 and host cue masking can drive smaller reductions that may be additive when used in 33 combination with barrier technologies. Finally, ongoing development of louse-resistant 34 salmon lineages may lead to long term improvements if genetic gain is maintained, while the 35 development of an effective vaccine remains a key target. Preventative methods emphasise 36 host resistance traits while simultaneously reducing host-parasite encounters. Effective 37 implementation has the potential to dramatically reduce the need for delousing and thus 38 improve fish welfare, productivity and sustainability in louse-prone salmon farming regions. 39

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## 41 **INTRODUCTION**

The global expansion of sea cage fish farming has driven considerable shifts in the population 42 dynamics of marine pathogens. For 40 years, ectoparasitic lice have been an intractable 43 problem for Atlantic salmon (Salmo salar) farming industries in Europe and the Americas 44 45 (Torrissen et al. 2013; Iversen et al. 2015). Louse infestations are almost ubiquitous on salmon farms in these regions – primarily the salmon louse Lepeophtheirus salmonis but also 46 47 Caligus elongatus in the northern hemisphere, and Caligus rogercressevi in South America (Hemmingsen et al. 2020). Lice are natural parasites of fish, but intensive salmon farming 48 49 amplifies louse densities, resulting in unnaturally high infestation pressure for both farmed and wild salmonids. Lice feed on the skin, blood and mucus of host fish, and severe 50 infestations can cause ulceration leading to stress, osmotic imbalance, anaemia and bacterial 51 infection (Grimnes and Jakobsen 1996; Øverli et al. 2014; González et al. 2016). 52

Accordingly, management of louse infestations on farmed fish is crucial to maintain acceptable stock welfare, limit production losses and reduce impacts on adjacent wild salmonid populations (Krkošek *et al.* 2013; Thorstad *et al.* 2015).

In most jurisdictions, the primary management approach is to monitor louse densities on 56 farmed fish, with mandatory delousing or other sanctions implemented when louse levels 57 exceed allowable limits. Regulations also cap the number of active sites or total biomass in 58 each management zone according to estimated infestation pressure on wild salmonids, and 59 may mandate coordinated fallowing or other measures (e.g. Norway: Ministry of Trade and 60 Fisheries, 2012). The introduction of chemotherapeutants in the 1970s allowed farms to treat 61 sea louse infestations without substantially reducing production (Aaen et al. 2015). However, 62 63 most chemotherapeutants are not environmentally benign, leading to concerns about bioaccumulation and effects on non-target invertebrate species (Burridge et al. 2010). More 64 65 recently, treatment-resistant lice have emerged on farms in Europe and the Americas (Aaen et 66 al. 2015) rendering many chemotherapeutants less effective.

The discovery of treatment-resistance has prompted a rapid and recent shift to mechanical 67 68 and thermal delousing methods in the Norwegian salmon farming industry (Overton et al. 2018), with these methods also gaining traction elsewhere (e.g. Canada, Chile, Scotland). 69 Mechanical and thermal delousing are highly effective at removing mobile lice and have little 70 71 or no impact on non-target species. However, they are stressful for host fish and can lead to elevated post-treatment mortality rates compared to the use of chemotherapeutants (Overton 72 et al. 2018). Low salinity or hydrogen peroxide baths are also effective in the right conditions 73 and do not accumulate, although the long-term prospects for these methods are uncertain 74 given the possibility of increasingly resistant lice (Treasurer et al. 2000, Helgesen et al. 2018, 75 76 Groner et al. 2019). Alternatively, around 50 million cleaner fish (lumpfish Cyclopterus *lumpus* and several wrasse species) are deployed annually at Norwegian salmon farms to eat 77 lice directly off salmon (Norwegian Directorate of Fisheries 2018), with >1.5 million cleaner 78 fish also used in Scotland (Marine Scotland Directorate, 2017). However, it is unclear 79 80 whether their efficacy (Overton et al. 2020; Barrett et al. 2020a) is sufficient to justify their 81 poor welfare in commercial sea cages (Nilsen et al. 2014; Hvas et al. 2018; Mo and Poppe 2018; Yuen et al. 2019; Stien et al. 2020). 82

Decades of innovation in louse control have allowed the salmon farming industry to continue
functioning in louse-prone regions, but not without significant environmental and ethical
concerns. Most research and development efforts so far have focused on treating at the post-

infestation stage. This likely reflects the relatively rapid return on investment into new 86 delousing methods but may be a sub-optimal strategy if opportunities to invest in long term 87 solutions are missed (Brakstad et al. 2019). An alternative approach is to focus louse 88 management efforts on preventing infestation via proactive interventions ('preventative 89 methods' herein) that may significantly reduce the need for farms to delouse. Here, we 90 summarise the range of potential or existing preventative methods and conduct a meta-91 analysis of empirical estimates of sea louse removal efficacy for each method. Finally, we 92 discuss the rationale for a paradigm shift from reactive louse control to a proactive approach 93 94 that focuses on predicting and preventing infestations, and outline some possible strategies to promote long term efficacy of preventative methods. 95

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## 97 WHAT PREVENTATIVE METHODS ARE AVAILABLE?

Preventative methods are deployed pre-emptively to reduce the rate of new infestations. 98 Within this classification, we include approaches that either: (1) reduce encounter rates 99 100 between salmon and infective copepodid stage lice; or (2) reduce the attachment success and/or early post-settlement survival of copepodids via interventions that begin to act at the 101 moment of attachment or first feeding (Fig. 1). These approaches are distinct from control via 102 delousing treatments, which are generally implemented as a reaction to an existing infestation 103 (i.e. 'immediate' control), or via cleaner fish, which may be deployed prior to infestation and 104 function on an ongoing basis (i.e. 'continuous' control) but are not typically effective against 105 106 newly attached lice (e.g. Imsland et al. 2015).

#### 107 1. Reducing encounters

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## 1.1 Barrier technologies

A growing understanding of louse physiology and host-finding behaviour has led to several important advances in louse prevention, and by using data on preferred swimming depths of infective copepodids in relation to environmental parameters (Heuch 1995; Heuch *et al.* 1995; Crosbie *et al.* 2019), farmers can now separate hosts from parasites using depthspecific louse barriers.

Barriers made from fluid-permeable plankton mesh or impermeable membranes can dramatically reduce infestation rates by preventing infective copepodids from entering the cage environment. 'Skirt' or 'snorkel' barriers prevent particles in the surface layers—where most copepodids reside—from entering the cage while still allowing full water exchange

below the level of the barrier (Oppedal et al. 2017; Wright et al. 2017; Stien et al. 2018). 118 Salmon often choose to reside below the level of the skirt or snorkel, meaning that the barrier 119 functions by simultaneously (i) encouraging salmon to swim below the depth at which 120 infestation risk is highest, and (ii) protecting any individuals that use the surface layers, for 121 example, while feeding or refilling the swim bladder. In the most complete use of barrier 122 technologies, fully-enclosed cages are supplied with louse-free water either filtered or 123 pumped from depths below the typical depth range of copepodids (e.g. 25 m: Nilsen et al. 124 125 2017).

Barrier technologies (particularly skirts) are already widely used by the industry, but specific 126 designs should be matched to local environmental conditions to avoid problems with low 127 dissolved oxygen or net deformation (Stien et al. 2012; Frank et al. 2015; Nilsen et al. 2017). 128 For example, Nilsen et al. (2017) prevented deformation of impermeable tarpaulin barriers at 129 relatively sheltered sites by creating slight positive pressure within the cage (i.e. inside water 130 131 level 2-3 cm above sea level). At more exposed sites, it is preferable to use fluid-permeable plankton mesh barriers (e.g. Grøntvedt et al. 2018). Brackish surface water can also reduce 132 the efficacy of skirts and snorkels by causing both lice and salmon to reside below the level 133 of the barrier (Oppedal et al. 2019), while there is evidence that barrier technology may 134 reduce the performance of cleaner fish when used in combination (Gentry et al. 2020). 135

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#### 1.2 Manipulation of swimming depth

Salmon behaviour, primarily swimming depth, can also be manipulated in the absence of 137 barrier technology to reduce spatial overlap (and therefore encounter rates) between hosts and 138 parasites, especially salmon lice. Typically, the aim is to reduce encounter rates by causing 139 salmon to swim below the depths at which lice are most abundant. Deep swimming 140 141 behaviour can be promoted through the use of deep feeding and/or lighting (Hevrøy et al. 2003; Frenzl et al. 2014; Bui et al. 2020). Where surface feeding is conducted, reducing the 142 frequency or regularity of feeding (e.g. twice daily at varying times) can reduce the amount 143 of time spent in the surface layers (Lyndon and Toovey 2000). Deep swimming can also be 144 145 forced by submerging cages to the desired depth (Dempster et al. 2008; Dempster et al. 2009), and there is evidence for reduced louse levels on salmon in submerged cages (Osland 146 147 et al. 2001; Hevrøy et al. 2003; Sievers et al. 2018; Glaropoulos et al. 2019). Long term submergence can affect fish welfare as salmon lose buoyancy over time (Korsøen et al. 2009; 148 149 Macaulay et al. 2020), however recent research indicates most welfare concerns can be

addressed by allowing periodic surface access or fitting a submerged air-filled dome for swim
bladder refilling (Korsøen *et al.* 2012; Glaropoulos *et al.* 2019; Oppedal *et al.* In Press).

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# 1.3 Geographic spatiotemporal management

A range of spatiotemporal management approaches are applied at the landscape scale to 153 reduce infestation risk by controlling where and when salmon are farmed. Some farm sites 154 have consistently low louse abundances and rarely require delousing (www.barentswatch.no). 155 Locating farms to take advantage of beneficial oceanographic conditions and minimise 156 connectivity with adjacent sites may reduce the number of host-parasite encounters over a 157 grow-out cycle (Bron et al. 1993; Samsing et al. 2017; Samsing et al. 2019). Fallowing 158 159 during periods of high propagule pressure may also delay first infestation after sea transfer of smolts (Bron et al. 1993). 160

## 161 *1.4 Filtering and trapping*

Filters and traps may be deployed in or around cages to remove infective copepodids from 162 the water column before they encounter salmon. Filter-feeding shellfish racks hung around 163 sea cages may reduce louse abundance if deployed at sufficient scale (Byrne et al. 2018; 164 Montory et al. 2020), while powered filters are effective in the context of preventing lice and 165 eggs from entering the environment during delousing (O'Donohoe and Mcdermott 2014). In 166 other fish farming systems, cleaner shrimp have been used to remove parasites or parasite 167 eggs from fish and nets and reduce infestation or reinfestation risk (Vaughan et al. 2018a; 168 169 Vaughan et al. 2018b). However, this method may have limited application against sea lice 170 because of the planktonic mode of dispersal and infestation (i.e. larvae do not develop within the cage structure). Light traps have been tested in the field with mixed results (Pahl et al. 171 172 1999; Novales Flamarique et al. 2009), and increasing knowledge of host-locating behaviour in lice may present new possibilities for baiting traps with attractive chemosensory cues 173 174 (Devine et al. 2000; Ingvarsdóttir et al. 2002; Bailey et al. 2006; Mordue and Birkett 2009; Fields et al. 2018). No preventative filtering or trapping methods have been widely deployed 175 in the industry, but some systems have recently become commercially available (e.g. 176 'Strømmen-rør', Fjord Miljø; 'NS Collector', Vard Aqua). 177

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# 1.5 Repellents and host cue masking

179 Interventions may be used to repel lice or mask host cues, potentially reducing host-parasite 180 encounters even when parasites enter the sea cage. Repellents or masking compounds can 181 either be released into the water column or included in feed to alter the host's semiochemical

profile (Hastie et al. 2013; O'Shea et al. 2017). Indeed, some existing commercially available 182 functional feeds are claimed to reduce attraction of lice toward fish (e.g. Shield, Skretting; 183 Robust, EWOS/Cargill). Visual cues may also be important, and the effect of modified light 184 conditions on infestation rates have been trialled with mixed results. Browman et al. (2004) 185 concluded that ultraviolet-A and polarisation were not important for host detection at small 186 spatial scales. Light intensity interacted with salinity and host velocity to influence 187 distribution of louse attachment in another study (Genna et al. 2005), while Hamoutene et al. 188 (2016) reported that 24-hour darkness affected the attachment location but not abundance of 189 190 salmon lice.

## 191 *1.6 Incapacitation*

Several methods have been proposed for disabling or killing lice—from egg to adult stages in or around sea cages. These include ultrasonic cavitation (Alevy 2017; Skjelvareid *et al.* 2018; Svendsen *et al.* 2018), direct current electricity (Bredahl 2014) and irradiation with short wavelength light (Barrett *et al.* 2020b, Barrett *et al.* 2020c). Some have demonstrated efficacy at close range (Skjelvareid *et al.* 2018, Barrett *et al.* 2020b, Barrett *et al.* 2020c), but it is currently unclear whether any such methods can be effective at commercial scale.

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#### 1.7 Louse population control

Interventions to suppress louse populations outside the cage environment would require
careful consideration before deployment and must be specific to targeted louse species. Very
little work has been done in this area, but possible avenues may include the release of
parasites and pathogens that are specific to sea lice (Økland *et al.* 2014; Økland *et al.* 2018;
Øvergård *et al.* 2018), or CRISPR-based 'gene drives' (McFarlane *et al.* 2018; Noble *et al.*2019).

## 205 2. Reducing post-encounter infestation success

## 206 2.1 Functional feeds

Feeds that provide physiological benefits beyond basic nutritional requirements are termed functional feeds and are increasingly prevalent in industrial fish farming (Tacchi *et al.* 2011). Feed ingredients that modify the mucus layer or modulate skin immune responses may reduce initial attachment success or facilitate effective immune responses against newlyattached lice (Martin and Krol 2017). Functional feeds may also include ingredients that are toxic or repellent to attached lice – these are not necessarily distinct from in-feed chemotherapeutants, except that they tend to be derived from 'natural' sources (e.g. plant-

derived essential oils: Jensen et al. 2015). Functional feeds aimed at improving salmon louse 214 resistance are already commercially available (e.g. Shield, Skretting; Robust, EWOS/Cargill). 215 It will be important to test for any adverse effects of new functional feeds. For instance, 216 glucosinolates and beta-glucans have been shown to be effective for reducing louse 217 infestation (Refstie et al. 2010; Holm et al. 2016), but glucosinolates also have a range of 218 effects on liver, muscle and kidney function that would need to be investigated (Skugor et al. 219 2016). Hormonal treatments may also be effective at reducing louse infestation (Krasnov et 220 al. 2015), but preventative hormone treatments are likely to be perceived negatively by 221 222 consumers.

223 **2.2 Vaccines** 

Vaccines against bacteria and viruses are increasingly widespread in fish farming. In Norway, 224 225 antibiotics have been almost entirely replaced by injectable multi-component oil-based vaccines (Brudeseth et al. 2013), and there is increasing use of injected or orally administered 226 vaccines in North America and Chile (Brudeseth et al. 2013). However, to our knowledge 227 there is currently only one (partially effective) vaccine available for sea lice (C. 228 229 rogercressevi: Providean Aquatec Sea Lice, Tecnovax). While there are no in-principle barriers, the development of vaccines for ectoparasites is technically challenging; despite the 230 identification of numerous vaccine targets in a range of ectoparasites, the cattle tick 231 232 (Rhipicephalus microplus) remains the only ectoparasite with a highly effective vaccine (Stutzer et al. 2018). 233

Successful development of a recombinant or DNA vaccine would allow cost-effective 234 production and delivery (Raynard et al. 2002; Sommerset et al. 2005; Brudeseth et al. 2013). 235 Potential vaccines exist at various stages of development, from localisation of candidate 236 237 antigens in lice (Roper et al. 1995), demonstration of antibody production in response to inoculation with louse extracts (Reilly and Mulcahy 1993), and use of recombinant proteins 238 to vaccinate salmon in tank trials (Carpio et al. 2011; Carpio et al. 2013; Basabe et al. 2014; 239 Contreras et al. 2020). Recently, RNA interference has been used to knock down candidate 240 vaccine targets and assess potential efficacy through challenge experiments (Eichner et al. 241 2014; Eichner et al. 2015; Komisarczuk et al. 2017). 242

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## 2.3 Breeding for louse resistance

Variation in louse resistance is considerable among Atlantic salmon and has a heritable component (Glover *et al.* 2005; Kolstad *et al.* 2005; Gjerde *et al.* 2011; Tsai *et al.* 2016;

Holborn et al. 2019), indicating that there is sufficient additive genetic variation for selective 246 breeding. Observed variation in louse resistance is probably due to differences in expression 247 of both host cues and immune responses (Holm et al. 2015). Decades of selective breeding 248 has resulted in much higher growth rates for farmed salmonid strains (Gjedrem et al. 2012) 249 and increased resistance to some diseases (Leeds et al. 2010; Ødegård et al. 2018; Storset et 250 al. 2007; reviewed by Robinson et al. 2017). More recently, the development of high-251 throughput single nucleotide polymorphism (SNP) genotyping technology has enabled 252 relatively rapid and affordable genomic selection and fine mapping of quantitative trait loci 253 254 associated with disease resistance.

Quantitative trait loci explaining between 6-13% of the genetic variation in sea louse 255 resistance (louse density on fish) have been detected in North American and Chilean 256 populations of Atlantic salmon (Rochus et al. 2018; Robledo et al. 2019). Salmon families 257 258 with greater resistance to sea lice show upregulation of several immune pathway and pattern 259 recognition genes compared to more susceptible families (Robledo et al. 2018), and the two major breeding companies in Norway (AquaGen and SalmoBreed) offer salmon lines that 260 have been selected using marker assisted section or genomic selection for sea louse 261 resistance. Use of genomic selection has been shown to increase the accuracy of selection for 262 sea louse resistance by up to 22% (Tsai et al. 2016; Correa et al. 2017), and two generations 263 264 of genomic selection focused on just sea louse resistance led to a 40-45% reduced sea louse infestation compared to unselected fish (Ødegård et al. 2018). 265

Other possible approaches for improving sea louse resistance in Atlantic salmon include 266 hybridisation of Atlantic salmon with more louse-resistant salmonid species (Fleming et al. 267 2014), genetic modification of Atlantic salmon with immune genes from other salmonids, or 268 use of gene editing to modify protein function or regulate the expression of genes affecting 269 resistance. In the case of hybridisation or any genetic modification, the effect on other 270 271 production traits would need to be assessed before hybrids or edited fish are used by the industry. Gene editing approaches have high potential (Gratacap et al. 2019), but successful 272 implementation depends on knowing which genes to modify to have the desired effect, on 273 274 developing effective methods for implementing and spreading the gene edits through the breeding population, and on the acceptability of the use of the technology by the general 275 276 public and government.

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#### 278 EFFICACY OF PREVENTATIVE METHODS

To assess the state of knowledge on the efficacy of preventative methods, we conducted a 279 280 systematic review and meta-analysis of published studies pertaining to preventative methods. To find relevant studies, we searched ISI Web of Science, Scopus and Google Scholar in 281 February 2020 using the following search string: (aquacult\* OR farm\*) AND (salmon\* or 282 Salmo) AND (lice OR louse OR salmonis OR Caligus). We also discovered additional studies 283 referenced within articles returned by the search string. Together, our searches returned 284 >1200 peer-reviewed articles, technical reports and patents relevant to lice and salmon 285 aquaculture, of which 141 provided evidence on the efficacy of preventative methods and 286 were included in the review. 287

Studies that provided relevant response variables were included in a meta-analysis, allowing 288 289 the comparison of effect sizes across the range of preventative approaches. For inclusion, studies were required to provide empirical measures of relative louse infestation densities for 290 291 treatment groups (preventative methods used) and control groups (no preventative methods used). Studies that applied treatments to lice but did not directly test for effects on infestation 292 293 were not included. Effect sizes were standardised using the natural log of the response ratio:  $lnRR = ln(\mu_T/\mu_C)$ , where  $\mu_T$  is the treatment group response and  $\mu_C$  is the control group 294 response. In most cases, response variables were either mean or median attached lice per fish. 295 296 Where a study tested multiple qualitatively different treatments, each treatment was considered a replicate comparison in the meta-analysis. Where there were several 297 qualitatively similar treatments (e.g. a range of doses of the same substance) the strongest 298 treatment was included in the meta-analysis. Epidemiological studies typically did not have 299 clear control or treatment groups; in such cases, the area or condition with the highest louse 300 301 density was designated as the control group for the purposes of calculating a response ratio; this practice may inflate average effect sizes. 302

A total of 41 articles provided 98 comparisons that met the criteria for inclusion in the meta-303 analysis. For each preventative approach, we calculated a median effect size. When 304 305 calculating a median effect, weighting studies according to their sample size can reduce bias. However, this was difficult in practice due to inconsistent definition of units of replication 306 307 and therefore sample size across studies. Given this, we applied weightings to studies within each preventative approach (except vaccination, breeding and functional feed approaches, 308 309 which are usually challenge tested in tanks) according to the scale or level of evidence of the experiment (in descending order of relative weights, level A: multiple farm experiment -1.0; 310

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level B: experiment in full size sea cages at a single site - 0.8; level C: experiment in small
sea cages at a single site - 0.6, level D: observational/epidemiology - 0.4; level E:
experiment in tanks - 0.2).

To allow a visual assessment of potential publication bias, we produced a 'funnel plot' in which study effect sizes are fitted against the precision (1/SE) of the effect. This is based on sample size as defined by the study authors, or else the best available approximation. Precision is typically increased by sample size and/or experimental power, and typically, in a field without publication bias, the average direction and size of effect should not vary systematically with study precision (Hedges *et al.* 1999; Nakagawa *et al.* 2017).

#### 320 Which preventative methods are most effective against sea lice?

321 Comparison of response ratios revealed high variability in effect sizes among trials of preventative methods (Fig. 2), but evidence from sea cage trials indicates that barrier 322 technologies can drive the largest and most consistent reductions in louse infestation levels 323 (weighted median 78% reduction, range 8% increase to 99% reduction, n = 13; Fig. 2). 324 Efficacy of specific barrier technologies appeared to be related to the extent of coverage: 325 skirts were moderately effective (median 55% reduction, range 30-81%, n = 2), snorkels were 326 highly effective (median 76% reduction, range 8% increase to 95% reduction, n = 9), and in 327 the sole closed containment study (Nilsen et al. 2017), infestations were almost entirely 328 avoided (98-99.7% reduction). 329

330 Approaches utilising manipulation of salmon swimming depth offered variable outcomes, but 331 with strong effects in certain situations (weighted median 26% reduction, range 72% increase to 93% reduction, n = 11; Fig. 2). Geographic spatiotemporal management of farming effort 332 333 (or related variables such as simulated current speed: Samsing et al. 2015) had similarly variable effects (weighted median 13% reduction, range 81% increase to 73% reduction, n = 334 335 14; Fig. 2). Functional feeds tended to have small but beneficial effects on sea louse infestations (median 24% reduction, range 108% increase to 67% reduction, n = 32: Fig. 2), 336 337 as do published vaccine trial results (median 4% reduction, range 20% increase to 57% reduction). Notably, deployment of multiple preventative methods in combination with 338 339 cleaner fish had highly variable effects in three published studies using replicated modern commercial sea cages (weighted median 9% reduction, range 143% increase to 49% 340 reduction, n = 5: Bui *et al.* 2019b; Bui *et al.* 2020; Gentry *et al.* 2020). 341

Several potential preventative approaches have seen little effort to test their effects on infestation rates. The use of repelling non-host cues was effective in one small-scale cage study (53-74% reduction, n = 3: Hastie *et al.* 2013), as was filtering of copepodids using oyster racks ((32% reduction: Byrne *et al.* 2018) or light traps (12% reduction: Pahl *et al.* 1999), and the incapacitation of lice using electric fences (78% reduction: Bredahl 2014) and ultrasonic cavitation (37% increase to 39% decrease: Skjelvareid *et al.* 2018).

Efficacy of selective breeding for louse resistance should be interpreted with a long-term 348 view. Iterative improvements tend to be small-moderate but can lead to large genetic gain 349 over generations (Yanez et al. 2014; Gjedrem 2015), especially if genomic or marker assisted 350 selection for sea louse resistance is given a high weighting in the overall breeding index 351 (Ødegård et al. 2018). Estimates of heritability in louse resistance are moderate to high 352 depending on the method used (range 0.07-0.35: e.g. Gjerde et al. 2011; Glover et al. 2005; 353 354 Houston et al. 2014; Holborn et al. 2019), indicating that there is sufficient heritable variation 355 available for genetic improvement.

#### 356 Is the evidence base representative and robust?

Most preventative approaches have only been assessed a few times. Among the 41 articles 357 that met the criteria for inclusion in the meta-analysis, 7 provided data on efficacy of barrier 358 technologies, 6 on manipulation of swimming depth, 1 on breeding, 13 on functional feeds, 2 359 on incapacitation, 2 on repellents or cue-masking, 5 on geographic spatiotemporal 360 management, 2 on trapping and filtering, and 3 on candidate vaccines. Most articles (n = 38)361 were primarily concerned with salmon lice L. salmonis (i.e. those in Europe and North 362 America), while the remaining 3 articles targeted prevention of sea lice C. rogercressevi (i.e. 363 those in Central or South America). All tested efficacy using Atlantic salmon. 364

Levels of evidence ranged widely: Barrier technologies had the most rigorous evidence base, with multiple studies with evidence levels from A-C (Fig. 2). Evidence levels should be considered when interpreting estimated efficacy, as preventative approaches may vary in their scalability to commercial sea cages (e.g. viability of methods to filter or trap copepodids are likely to be highly dependent on water volume).

Units of replication also varied widely between studies, from individual fish to tanks, sea cages or farms. 51 out of 98 comparisons treated individual fish as replicates, in most cases resulting in a pseudoreplicated design as individuals were kept within a comparatively small number of tanks or cages (often <3 tanks or cages per group). We recommend that where fish are treated as replicates, the number of tanks or cages should also be reported, and mixed
effects statistical methods employed to account for non-independence between fish held
within the same tank or cage (Harrison *et al.* 2018).

377 Finally, the meta-analysis revealed possible evidence for publication bias, with fewer studies than expected present in the area of the plot corresponding to low precision and negative 378 findings (Fig. 3). In other words, the funnel plot indicates that among studies with small 379 sample sizes and/or highly variable data, those with positive results regarding efficacy of a 380 preventative method were more likely to be published. Not publishing negative findings can 381 (a) artificially inflate estimates of efficacy when averaging across studies, and (b) lead 382 researchers to waste resources testing methods that have already been found to be ineffective, 383 384 perhaps multiple times. Accordingly, it is important that researchers and managers are aware of the potential for publication bias when considering the evidence for novel louse 385 386 management strategies (whether preventative or otherwise). The prevalence of publication 387 bias is likely to be influenced by the type of study and preventative method. For example, tests of barrier technologies and swimming depth manipulation are generally conducted in sea 388 cages, and given the effort and cost involved, results are perhaps more likely to be published 389 in full. Other approaches may be inherently more susceptible to publication bias, for example 390 when a large range of substances or doses are tested in the early stages of a study and only 391 392 those that are reasonably successful are reported.

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# 394 THE NEW PARADIGM: A FOCUS ON PREVENTATIVE METHODS AGAINST 395 SEA LICE

The evidence base demonstrates that effective implementation of preventative methods can
reduce infestation pressure within sea cages and therefore reduce the need for louse control.
A prevention-focused louse management paradigm may lead to several key benefits:

399 (1) Most preventative methods have small if any impacts on non-target organisms (like
400 mechanical and thermal delousing methods, but unlike some common chemotherapeutants:
401 Burridge *et al.* 2010; Taranger *et al.* 2015).

402 (2) Delousing treatments cause stress and injury to stock, leading to welfare concerns and
403 production losses from reduced growth, higher mortality and a lower quality product
404 (Overton *et al.* 2018). By focusing on avoiding encounters and reducing initial infestation
405 success, preventative methods may be targeted at infective louse stages without also

406 impacting host fish (Fig. 4). Conversely, some preventative methods can selectively target
407 host traits to improve innate resistance (Fig. 4), such as promoting parasite avoidance
408 behaviour via behavioural manipulation or immune function via functional feeds and
409 selective breeding.

410 (3) Multiple preventative methods can be deployed together and on a continuous basis, although specific combinations should be trialled first (Bui et al. 2020; Gentry et al. 2020). 411 This contrasts with current louse control methods, which are less amenable to being used in 412 combination (for example, cleaner fish should not be subjected to mechanical delousing 413 along with the salmon). The technical ability already exists to place farms strategically to 414 minimise connectivity (Samsing et al. 2019), and salmon with higher louse resistance are 415 already being stocked by some farms in combination with barrier technologies (primarily 416 skirts) and/or functional feeds for louse resistance. Effective use of multiple preventative 417 418 methods in combination could reduce louse densities by orders of magnitude without 419 negative effects on fish welfare, although as with any control strategy, potential welfare 420 concerns (e.g. those arising from holding salmon at depth) should be tested and mitigated prior to widespread deployment. Vaccines may eventually result in even greater additive 421 reductions in louse densities. 422

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## 424 MAINTAINING LONG-TERM EFFICACY

425 Host-parasite interactions are subject to a coevolutionary arms race in which organisms must 426 constantly evolve to keep up with the coevolution occurring in opposing organisms (i.e. the Red Queen hypothesis: Hamilton et al. 1990). Most lice never encounter a potential host, and 427 428 those that do will likely only have one opportunity to attach. This could precipitate strong selective pressures, and because farmed salmon represent the majority of available hosts for 429 430 lice in some regions (especially in the north-east Atlantic), louse control interventions on farms are likely to exert directional selection pressure on louse populations wherever certain 431 genotypes are favoured over others. Evolution of resistance occurred relatively quickly in 432 response to chemical delousing (global reviews: Aaen et al. 2015; Gallardo-Escárate et al. 433 434 2019) and presently remains high (Helgesen et al. 2018), although in areas where wild salmonids are abundant, flow of susceptible genes from lice on wild hosts may help to 435 maintain treatment efficacy (Kreitzman et al. 2017). 436

It is currently unclear whether preventative methods will be similarly vulnerable to the 437 evolution of resistance in lice, but some methods will likely create suitable conditions. For 438 example, barrier technologies that span the surface layers (e.g. 0-10 m) may select for lice 439 that preferentially swim deeper. Potential for evolution will depend on many factors 440 including the heritability of the resistance to the preventative treatment in lice, the levels of 441 genetic variation existing in the louse population, the intensity of selection, treatment season, 442 frequency and geographic locations, prevailing currents and tides (louse dispersal) and the 443 biological complexity of the preventative mechanism. Nonetheless, the preventative 444 445 paradigm does have the advantage of a diversity of methods that may disrupt directional selection for resistance to a given method. Research is needed to outline the best way 446 forward, but management strategies to slow the evolution of resistance to preventative 447 methods should heed lessons from other systems (e.g. antibiotic resistance in human 448 medicine: Raymond 2019). Potential strategies to slow the evolution of resistance to 449 450 preventative methods may include:

(1) Continuing to delouse when necessary. Effective use of preventative methods will greatly
reduce the required frequency of delousing, but periodic delousing will hamper the genetic
proliferation of any lice that successfully infest stock.

(2) Deployment of multiple methods in combination to counteract directional selection. For
example, combining skirts or snorkels with non-depth-specific methods such as functional
feeds or spatial management may reduce directional selection for louse swimming depth.

(3) Planning of spatial 'firebreaks' whereby farms are removed or fallowed at strategic areas
to minimise louse population connectivity, thus reducing reinfestation rates and potentially
slowing the spread of resistant genotypes between farming areas (Besnier *et al.* 2014;
Samsing *et al.* 2017; Samsing *et al.* 2019).

461 (4) Ongoing selective breeding for louse-resistant salmon lineages to ensure that genetic gains are not lost through random genetic drift. Using current cohorts of wild sea lice when 462 calibrating breeding value predictions for each generation will help to ensure that genetic 463 gains continue to be relevant and account for any evolutionary developments in the louse 464 465 population. Like other vertebrates, salmon have a complex immune system and biology, which should provide a range of potential defence options against parasites. Genomic 466 selection probably affects a number of biological processes in the fish, and sea lice would 467 therefore need to have sufficient genetic variability to be able to successfully adapt and 468

469 counter the genomic selection. Development of multiple louse-resistant salmon strains may470 dampen directional selection for corresponding adaptation in louse populations.

471 Conversely, preventative methods could be utilised in a way that promotes evolution of 472 certain resistant traits (such as deeper swimming) in order to increase specificity of louse 473 populations to salmon in farming environments, and therefore reduce infestation pressure on 474 wild salmon. Modelling is needed to determine whether such an approach could prove 475 beneficial in decoupling encounters between farm-derived lice and wild salmonids.

476

## 477 CONCLUSIONS

Effective use of barrier technologies such as skirts, snorkels, or closed containment, coupled 478 with supplementary preventative methods may make delousing treatments unnecessary at 479 many sites, while high-risk locations may require additional management and regulation. 480 Breeding of louse-resistant salmon has begun; heritable variation exists, and cumulative 481 improvements are reducing susceptibility to lice in some salmon lineages. The successful 482 development of an effective vaccine would also be an important advance. In general, 483 preventative methods are preferable to reactive delousing, and moving towards a prevention-484 focused paradigm on Atlantic salmon farms may yield significant improvements in fish 485 welfare and productivity, while avoiding significant environmental impacts. 486

487

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# **TABLES**

**Table 1.** Studies that assessed efficacy of preventative methods against louse infestation in Atlantic salmon. Effect sizes given are raw response ratios (treatment/control group) for louse infestation densities. Smaller values indicate more effective prevention. Where a study includes multiple treatment levels, the effect size range is given.

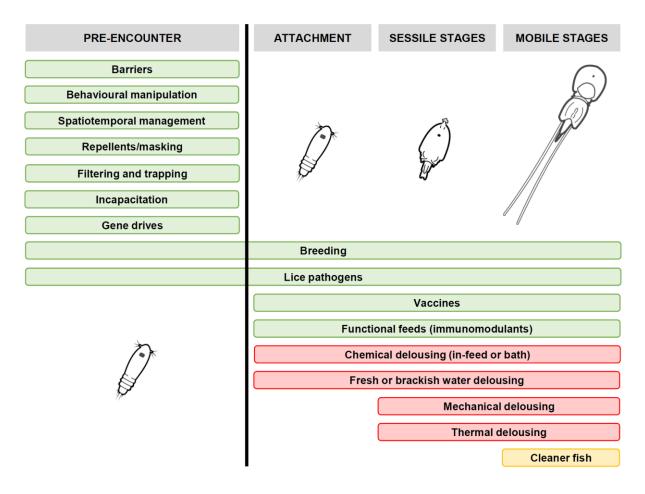
METHOD	EFFECT SIZE (T/C)	STUDY TYPE	STUDY ENVIRONMENT	STUDY LOCATION	FOCAL LOUSE	NOTES	REFERENCE
1.1 Barrier technologies							
Snorkel cages	0.57	Sea cage trial	Small cage	Norway	L. salmonis		Stien et al. 2016
	0.05–0.37	Sea cage trial	Small cage	Norway	L. salmonis		Oppedal et al. 2017
	0.17	Sea cage trial	Large cage	Norway	L. salmonis		Wright et al. 2017
	0.24	Sea cage trial	Large cage	Norway	L. salmonis		Geitung et al. 2019
	0.36-1.08	Sea cage trial	Small cage	Norway	L. salmonis		Oppedal et al. 2019
Skirts	0.70	Sea cage trial	Multi farm	Norway	L. salmonis		Grøntvedt et al. 2018
	0.19	Sea cage trial	Large cage	Norway	L. salmonis		Stien et al. 2018
Closed containment	0.00-0.02	Sea cage trial	Multi farm	Norway	L. salmonis		Nilsen et al. 2017
1.2 Manipulation of swimming depth							
Forced submergence	0.08-1.72	Sea cage trial	Small cage	Norway	L. salmonis		Hevrøy et al. 2003
	0.31-0.45	Sea cage trial	Large cage	UK	L. salmonis		Frenzl et al. 2014
	1.09	Sea cage trial	Large cage	Norway	L. salmonis		Nilsson et al. 2017
	0.28	Sea cage trial	Small cage	Norway	L. salmonis		Sievers et al. 2018
	0.70	Sea cage trial	Small cage	Norway	L. salmonis		Glaropoulos et al. 2019
Deep lights/feeding	0.74	Sea cage trial	Large cage	UK	L. salmonis		Lyndon and Toovey 2000
1.3 Geographic spatiotemporal management							
Location	NA	Challenge trial	Tank	UK	L. salmonis	Salinity	Genna et al. 2005)
	0.45-0.93	Epidemiology	Multi farm	Chile	C. rogercresseyi	Various risk factors	Zagmutt-Vergara <i>et al.</i> 2005
	0.27–0.88	Epidemiology	Multi farm	Canada	L. salmonis	Spatial risk factors	Saksida et al. 2007
	0.48–0.58	Epidemiology	Multi farm	Chile	C. rogercresseyi	Spatial risk factors	Kristoffersen et al. 2013

Current speed	NA 0.40–1.00	Challenge trial Challenge trial	Tank Tank	UK Norway	L. salmonis L. salmonis		Genna <i>et al.</i> 2005 Samsing <i>et al.</i> 2015
Fallowing	NA	Epidemiology	Multi farm	UK	L. salmonis	Louse accumulation	Bron <i>et al.</i> 1993
i unowing	1.05–1.81	Epidemiology	Multi farm	Norway	L. salmonis	Louse accumulation	Guarracino <i>et al.</i> 2018
Firebreaks	NA	Modelling	Multi farm	Norway	L. salmonis	Dispersal modelling	Samsing <i>et al.</i> 2019
1.4 Filtering and	1111	Modelling	ivitatiti farini	1 to1 wuy	L. Saimonis	Dispersar moderning	Sumsing et ut. 2017
trapping							
Light traps	0.88	Sea cage trial	Small cage	USA	L. salmonis		Pahl et al. 1999
Filtering	0.68	Sea cage trial	Large cage	Canada	L. salmonis L. salmonis	Oyster racks	Byrne <i>et al.</i> 2018
1.5 Repellents and host	0.00	Sea cage that	Large cage	Callada	L. saimonis	Oyster Tacks	Dyffic et ul. 2010
cue masking							
In-water compounds	0.26-0.47	Sea cage trial	Small cage	UK	L. salmonis		Hastie et al. 2013
In-feed compounds	None	Sea cage unai	Sinan cage	-	L. sumonis		No published studies
Light modification	0.93–1.08	Challenge trial	Tank	Norway	- L. salmonis		Browman <i>et al.</i> 2004
Light modification	0.93-1.08 NA	Challenge trial	Tank	UK	L. salmonis L. salmonis		Genna <i>et al.</i> 2004
	NA	Challenge trial	Tank	Canada	L. salmonis L. salmonis		Hamoutene <i>et al.</i> 2016
1.6 Incapacitation	INA	Chancinge that	1 alik	Callada	L. sumonis		Hamoutene et ul. 2010
1.0 Incupactiation Electricity	0.22	Sea cage trial	Small cage	Norway	L. salmonis	DC electric fence	Bredahl 2014
Ultrasound	0.22	Challenge trial	Tank	Norway	L. salmonis L. salmonis	DC electric felice	Skjelvareid <i>et al.</i> 2018
Irradiation	None	Chanenge that	I dlik	Norway	L. sumonis -		No published studies
	None	-	-	-	-		No published siddles
1.7 Louse population control							
	None						No published studies
Pathogens Comp driver		-	-	-	-		No published studies
Gene drives <b>2.1 Functional feeds</b>	None	-	-	-	-		No published studies
Ũ	0.50	Challen as trial	T1.	UK	T	Nucleatides	Decemble of al 2001
Immunomodulation	0.56 0.61–1.09	Challenge trial	Tank		L. salmonis	Nucleotides	Burrells <i>et al.</i> 2001
		Challenge trial	Tank	Canada	L. salmonis	Various additives	Covello <i>et al.</i> 2012
	0.48–1.31	Challenge trial	Small cage	Norway	L. salmonis	Various additives	Refstie <i>et al.</i> 2010
	0.70-0.81	Challenge trial	Tank	Canada	L. salmonis	Aquate, CpG	Poley <i>et al.</i> 2013
	0.73–0.85	Challenge trial	Tank	Norway	L. salmonis	Various additives	Provan <i>et al.</i> 2013
	0.84	Challenge trial	Tank	Canada	L. salmonis	CpG	Purcell et al. 2013
	0.80	Challenge trial	Tank	UK	L. salmonis	Various additives	Jensen <i>et al.</i> 2015
	0.48–0.67	Cage trial	Small cage	Norway	L. salmonis	Sex hormones	Krasnov et al. 2015
	0.78	Challenge trial	Tank	Chile	C. rogercresseyi	Various additives	Nunez-Acuna et al. 2015
	0.33–0.67	Challenge trial	Tank	Canada	L. salmonis	Peptidoglycan extract	Sutherland et al. 2017
	1.22	Sea cage trial	Large cage	Norway	L. salmonis	Skretting Shield (all cages had cleaner fish)	Bui <i>et al.</i> 2020
	2.08	Sea cage trial	Large cage	Norway	L. salmonis	Skretting Shield (all cages	Gentry et al. 2020

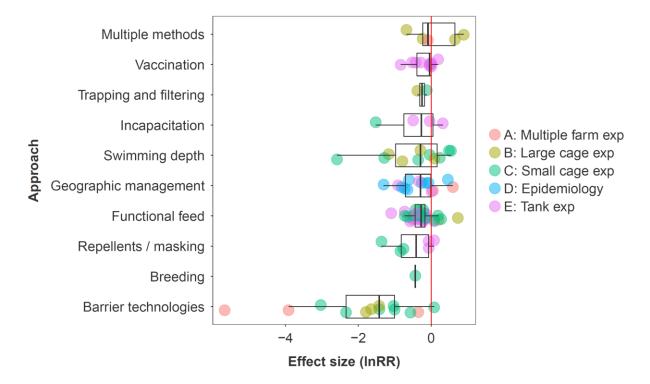
Repellents/toxins 2.2 Vaccination	0.83	Challenge trial	Tank	Norway	L. salmonis	had cleaner fish) Phytochemicals	Holm <i>et al</i> . 2016
Recombinant protein	0.43	Challenge trial	Tank	Chile	C. rogercressevi	my32 protein	Carpio et al. 2011
	0.45–0.47	Challenge trial	Tank	Norway	L. salmonis	my32 protein	Kumari Swain et al. 2018
	0.65–1	Challenge trial	Tank	Norway	L. salmonis	P33 protein offered strongest effect	Contreras et al. 2020
2.3 Breeding for louse resistance							
						Comparison of most	
Various	0.65	Sea cage trial	Small cages	Norway	L. salmonis	resistant and susceptible	Holm <i>et al.</i> 2015
	0.01	0 1		N	<b>7</b> 7 .	families	D :
Multiple methods	0.91	Sea cage trial	Multi farm	Norway	L. salmonis	All cages had cleaner fish Functional feed + deep	Bui et al. 2019b
	0.51	Sea cage trial	Large cage	Norway	L. salmonis	feeding and lighting (all	Bui et al. 2020
						cages had cleaner fish) Functional feed + deep	
	0.79	Sea cage trial	Large cage	Norway	L. salmonis	feeding and lighting + skirt (all cages had cleaner fish)	Bui <i>et al.</i> 2020
						Functional feed + deep	
	1.91	Sea cage trial	Large cage	Norway	L. salmonis	feeding and lighting (all	Gentry et al. 2020
						cages had cleaner fish)	
	2.43	Sea cage trial	Large cage	Norway	L. salmonis	Functional feed + deep feeding and lighting + skirt (all cages had cleaner fish)	Gentry et al. 2020

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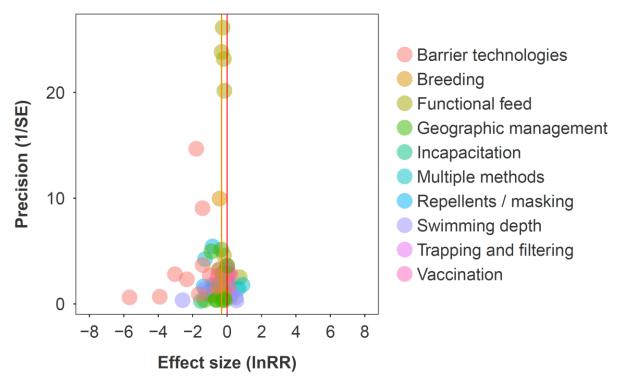
## FIGURES



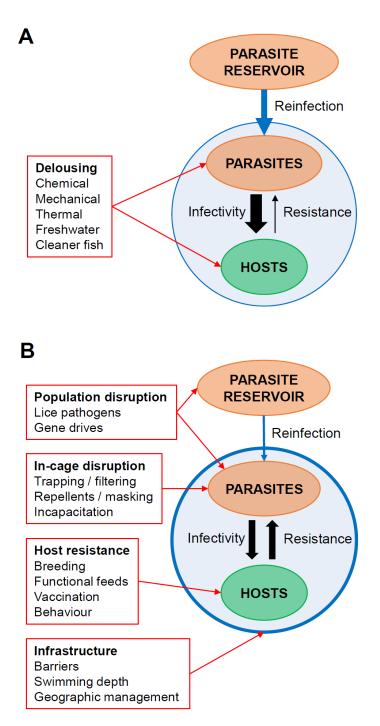
**Figure 1.** Sea louse infestation timepoints targeted by preventative methods and delousing treatments. Colours denote on-demand delousing (**red**), continuous delousing (**orange**) and preventative methods (**green**). Line drawings indicate the stage of louse predominantly affected by each method, L-R: larvae (nauplii and copepodids), sessile stages (chalimus I and II), and mobile stages (pre-adults and adults).



**Figure 2.** Distribution of effect sizes (natural log of the response ratio: lnRR) across studies testing preventive methods. Studies are grouped by the type of preventative method tested (Approach). Points denote the effect size of each study, coloured by the level of evidence provided. Negative values for lnRR indicate an effective approach. lnRR = 0 corresponds to no difference between control and treatment groups. Boxes indicate the median and 25-75% interquartile range of effect sizes from studies testing each approach.



**Figure 3.** Funnel plot of published effect sizes (natural log of the response ratio) of preventative methods against sea louse infestations on Atlantic salmon. Effect sizes are plotted against the precision of the experiment (inverse of the standard error). The absence of studies on the right side of the plot is suggestive of publication bias against negative findings. **Red** line indicates zero effect ( $\ln RR = 0$ ), **orange** line indicates median effect size.



**Figure 4.** Conceptual diagram outlining: (**A**) the current delousing treatment-dominated paradigm for parasite control; (**B**) the new paradigm with a focus on prevention rather than treatment. **Red** arrows indicate management actions and how they are targeted (i.e. specificity, mediation). **Blue** arrows indicate supply of infective larvae (line thickness scales with number entering cages). **Black** arrows indicate host and parasite traits (line thickness scales scales with relative importance).